

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

QUANERGY SYSTEMS, INC.,<sup>1</sup>

Debtor.

Chapter 11

Case No. 22-11305 (CTG)

**STATEMENT OF FINANCIAL AFFAIRS FOR QUANERGY SYSTEMS, INC.**  
**(CASE NO. 22-11305)**

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<sup>1</sup> The Debtor and the last four digits of its taxpayer identification number are: Quanergy Systems, Inc. (5845). The debtor's mailing address for purposes of this chapter 11 case is 433 Lakeside Drive, Sunnyvale, CA 94085.

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**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY, AND  
DISCLAIMER REGARDING THE DEBTOR'S SCHEDULES OF ASSETS AND  
LIABILITIES AND STATEMENTS OF FINANCIAL AFFAIRS**

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Quanergy Systems, Inc., as debtor and debtor in possession (the “Debtor”) in the above-captioned chapter 11 case (the “Chapter 11 Case”), is filing its Schedules of Assets and Liabilities (collectively, the “Schedules”) and Statements of Financial Affairs (collectively, the “Statements,” and together with the Schedules, collectively, the “Schedules and Statements”) in the United States Bankruptcy Court for the District of Delaware (the “Court”). The Debtor, with the assistance of its professional advisors, prepared the Schedules and Statements in accordance with section 521 of title 11 of the United States Code, 11 U.S.C. §§ 101–1532 (the “Bankruptcy Code”) and Rule 1007 of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”).

These *Global Notes and Statement of Limitations, Methodology, and Disclaimers regarding the Debtor’s Schedules of Assets and Liabilities and Statements of Financial Affairs* (the “Global Notes”) pertain to, are incorporated by reference in, and compose an integral part of, the Schedules and Statements. The Global Notes should be referred to as part of, and reviewed in connection with, the Schedules and Statements.<sup>2</sup>

The Schedules and Statements have been prepared based on information provided by the Debtor’s management and employees and are unaudited and subject to potential adjustment. In preparing the Schedules and Statements, the Debtor relied on financial data derived from its books and records that was available at the time of preparation. The Debtor has used commercially reasonable efforts to ensure the accuracy and completeness of such information and data; however, subsequent information, data, or discovery may result in material changes to the Schedules and Statements and inadvertent errors, omissions, or inaccuracies may exist. The Debtor and its estate

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<sup>1</sup> The Debtor and the last four digits of its taxpayer identification number are: Quanergy Systems, Inc. (5845). The Debtor’s mailing address for purposes of the Chapter 11 Case is 433 Lakeside Drive, Sunnyvale, CA 94085.

<sup>2</sup> The Global Notes are in addition to any specific notes that may be contained in each of the Schedules or Statements. The fact that the Debtor has prepared a general note herein with respect to any of the Schedules and Statements and not to others should not be interpreted as a decision by the Debtor to exclude the applicability of such general note to the Debtor’s remaining Schedules and Statements, as appropriate.

reserve all rights to amend or supplement the Schedules and Statements as may be necessary and appropriate.

**Reservation of Rights.** Nothing contained in the Schedules and Statements or the Global Notes shall constitute a waiver of any rights of the Debtor or its estate or an admission with respect to the Chapter 11 Case, including, but not limited to, any issues involving objections to claims, setoff or recoupment, equitable subordination or recharacterization of debt, defenses, characterization or re-characterization of contracts, leases and claims, assumption or rejection of contracts and leases and/or causes of action arising under the Bankruptcy Code or any other applicable laws.

**Description of the Case and “as of” Information Date.** On December 13, 2022 (the “Petition Date”), the Debtor filed a voluntary petition for relief under chapter 11 of the Bankruptcy Code. Pursuant to sections 1107(a) and 1108 of the Bankruptcy Code, the Debtor is authorized to continue to manage its financial affairs as a debtor in possession. Except for (i) Schedule A/B, Part 1, which is listed as of December 18, 2022, and (ii) Schedule A/B, Parts 5, 7, and 9, which are listed as of November 30, 2022, and unless otherwise indicated herein or in the Schedules and Statements, all financial information for the Debtor in the Schedules and Statements and the Global Notes is provided as of the Petition Date or as close to the Petition Date as reasonably practicable under the circumstances.

**Basis of Presentation.** The Schedules and Statements do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles (“GAAP”), nor are they intended to fully reconcile to any financial statements prepared by the Debtor.

**Recharacterization.** Notwithstanding the Debtor’s commercially reasonable efforts to properly characterize, classify, categorize, or designate certain claims, assets, executory contracts, unexpired leases, and other items reported in the Schedules and Statements, the Debtor may nevertheless seek to recharacterize, reclassify, recategorize, redesignate, add, delete, or otherwise amend items included in the Schedules and Statements, and the Debtor and its estate reserve all rights in this regard.

**Accounts Payable and Disbursement System.** The Debtor maintains a cash management system (the “Cash Management System”) to collect and disburse funds in the ordinary course. A more complete description of the Cash Management System is set forth in the *Debtor’s Motion for Entry of Interim and Final Orders (I) Authorizing Use of Cash Management System; (II) Authorizing Use of Prepetition Bank Accounts and Certain Payment Methods; (III) Waiving the Requirements of 11 U.S.C. § 345(b) on an Interim Basis; and (IV) Granting Related Relief* (the “Cash Management Motion”) [Docket No. 7] filed on the Petition Date. The Court entered an order granting the relief requested in the Cash Management Motion on an interim basis on December 14, 2022 [Docket No. 39].

**Insiders.** For purposes of the Schedules and Statements, the Debtor defines “insiders” pursuant to section 101(31) of the Bankruptcy Code as: (a) current or former directors, officers or persons in control of the Debtor; (b) relatives of current or former directors, officers, or persons in control of the Debtor; (c) a partnership in which the Debtor is a general partner; or (d) an affiliate of the Debtor. Except as otherwise disclosed herein or in the Statements, payments to insiders listed in

(a) through (d) above are set forth on Statement 4. Persons listed as “insiders” have been included for informational purposes only, and such listing is not intended to be, nor should it be construed as, a legal characterization of such person as an insider, nor does it serve as an admission of any fact, claim, right or defense, and all such claims, rights, and defenses with respect thereto are hereby expressly reserved. Further, the Debtor and its estate do not take any position with respect to: (a) any such person’s influence over the control of the Debtor, (b) the management responsibilities or functions of any such individual; (c) the decision-making or corporate authority of any such individual; or (d) whether any such individual could successfully argue that he or she is not an “insider” under applicable law, including, without limitation, the federal securities laws, or with respect to any theories of liability or for any other purpose.

**Summary of Significant Reporting Policies.** The following is a summary of certain significant reporting policies:

- a. **Current Market Value – Net Book Value.** It would be prohibitively expensive, unduly burdensome, and an inefficient use of estate resources for the Debtor to obtain current market valuations for all estate assets. Accordingly, unless otherwise indicated herein or in the Schedules and Statements, the Schedules and Statements reflect the net book values, rather than current market values, of the Debtor’s assets as of the Petition Date (unless another date is indicated herein or in the Schedules and Statements), and may not reflect the net realizable value.
- b. **First Day Orders.** Pursuant to various “first day” orders and any supplements or amendments to such orders entered by the Court (collectively, the “First Day Orders”), the Debtor and its estate are authorized to pay certain prepetition claims, including, without limitation, certain claims relating to employee wages and benefits, claims for taxes and fees, and claims related to customer programs. Accordingly, to the extent certain prepetition claims were paid pursuant to the relief granted by the First Day Orders, such claims have been omitted from the Schedules and Statements. Additionally, certain claims on the Schedules and Statements may have been, or may in the future be, satisfied pursuant to the First Day Orders.
- c. **Setoffs.** To the extent the Debtor has incurred or effectuated any ordinary course setoffs with third parties (including, without limitation, customers and vendors) prior to the Petition Date, or is subject to the occurrence of, or maintain the right to effectuate, ordinary course setoffs on account of activities occurring prior to the Petition Date, claims of creditors listed may not reflect all such setoffs. The Debtor and its estate reserve all rights with respect to any such setoffs.
- d. **Credits and Adjustments.** Claims of creditors are listed in the amounts entered on the Debtor’s books and records, and may not reflect all credits, allowances, or other adjustments due from such creditors to the Debtor. The Debtor and its estate reserve all rights with regard to any such credits, allowances and other adjustments, including, without limitation, the right to assert claims, objections, setoffs and recoupments with respect to the same.

- e. **Leases.** The Debtor may lease certain equipment or non-residential real property from certain third-party lessors for use in the ordinary course of its business. Nothing in the Schedules and Statements is, or shall be construed as, an admission as to the determination of the legal status of any lease (including, without limitation, whether any lease is a true lease or a financing arrangement, and whether such lease is unexpired), and the Debtor and its estate reserve all rights with respect to such issues.
- f. **Executory Contracts and Unexpired Leases.** The Debtor has not set forth executory contracts and unexpired leases as assets in the Schedules and Statements, even though these contracts and leases may have some value to the Debtor's estate. Rather, executory contracts and unexpired leases have been set forth solely on Schedule G. The Debtor's rejection of executory contracts and unexpired leases may result in the assertion of rejection damages claims against the Debtor and its estate; however, the Schedules and Statements do not reflect any claims for rejection damages. The Debtor and its estate reserve all rights with respect to the assertion of any such claims. In addition, the Debtor has not included on Schedule G executory contracts and unexpired leases that were listed on Schedules 1 and 2 to that certain *Debtor's First Omnibus Motion for Entry of an Order Authorizing Rejection of Certain Executory Contracts Effective as of the Petition Date* [Docket No. 24].

**Unknown or Undetermined Amounts.** Where a description of an amount is left blank or listed as "unknown" or "undetermined," such response is not intended to reflect upon the materiality of such amount.

**Liabilities.** The Debtor has sought to allocate liabilities between the prepetition and postpetition periods based on the information available at the time of filing the Schedules and Statements. If additional information becomes available or further research is conducted, the allocation of liabilities between the prepetition and postpetition periods may change. Accordingly, the Debtor and its estate reserve all rights to amend, supplement, or otherwise modify the Schedules and Statements as is necessary or appropriate.

The liabilities listed on the Schedules do not reflect any analysis of any claims under section 503(b)(9) of the Bankruptcy Code. Accordingly, the Debtor and its estate reserve all rights to dispute or challenge the validity of any claims asserted under section 503(b)(9) of the Bankruptcy Code, or the characterization of the structure of any transaction, document or instrument related to such claim.

**Estimates.** In the course of preparing the Schedules and Statements, the Debtor was required to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and reported revenue and expenses. The Debtor and its estate reserve all rights to amend the reported amounts of assets, liabilities, revenue, and expenses to reflect changes in those estimates and assumptions.

**Classifications.** Listing a claim (a) on Schedule D as "secured," (b) on Schedule E/F as "unsecured priority," or "unsecured non-priority," or (c) listing a contract or lease on Schedule G as "executory" or "unexpired," does not constitute an admission by the Debtor and its estate of the

legal rights of any claimant, or a waiver of the rights of the Debtor and its estate to amend the Schedules and Statements to recharacterize or reclassify any claim or contract.

**Claims Description.** The Debtor and its estate reserve all rights to dispute, and to assert any offsets or defenses to, any claim reflected on the applicable Schedule on any grounds, including, without limitation, amount, liability, validity, priority or classification, and to subsequently designate any claim as “disputed,” “contingent,” or “unliquidated.”

**Guaranties and Other Secondary Liability Claims.** Guaranties and other secondary liability claims (collectively, the “Guaranties”) with respect to the Debtor’s contracts and leases may not be included on Schedule H; however, certain Guaranties embedded in the Debtor’s executory contracts, unexpired leases, secured financings, and similar agreements may exist. Therefore, the Debtor and its estate reserve all rights to amend the Schedules to the extent additional Guaranties are identified.

### **NOTES FOR SCHEDULES**

**Schedule A/B—Assets—Real and Personal Property.** Despite its commercially reasonable efforts to identify all known assets, the Debtor may not have listed all of its respective causes of action or potential causes of action against third parties as assets in the Schedules and Statements, including, but not limited to, causes of action arising under the Bankruptcy Code or any other applicable laws to recover assets or avoid transfers. The Debtor and its estate reserve all rights with respect to any claims and causes of action that they may have, and neither the Global Notes nor the Schedules and Statements shall be deemed a waiver of any such claims and causes of action, or in any way prejudice, impair or otherwise affect the assertion of such claims and causes of action.

Patents, trademarks, and other intellectual property are listed on Schedule B, Part 10 as an unknown or undetermined amount on account of the fact that the fair market value of such ownership is dependent on numerous variables and factors and may differ significantly from the net book value.

Any leasehold improvements and equipment identified on Schedule B, Part 8 are listed net of any depreciation.

As applicable, ownership interests in businesses, partnerships, and joint ventures (including any subsidiaries) have been listed in Schedule B, Part 4, at net book value. The fair market value of such ownership is dependent on numerous variables and factors and may differ significantly from the listed net book value.

With respect to Schedule A/B, question 72, the Debtor is not currently owed any tax refunds. With respect to net operating loss carryforwards (“NOLs”), as set forth in the *Debtor’s Motion for Interim and Final Orders, Pursuant to Sections 105(a), 362(a)(3) and 541 of the Bankruptcy Code and Bankruptcy Rule 3001, Establishing Notice and Hearing Procedures for Trading in, or Certain Claims of Worthlessness With Respect to, Equity Securities in Quanergy Systems, Inc.* [Docket No. 8], the Debtor is currently uncertain as to the precise amount and so has listed the same as “undetermined.” The Debtor believes the Business Combination (as defined in the First

Day Declaration) may have caused an “ownership change” under Section 382 of the Internal Revenue Code (as defined below). In addition, and also as discussed in the First Day Declaration, the Debtor had significant stock issuances in May 2022, August 2022, and, most recently, in November 2022, which may have triggered an ownership change, but the analyses have not yet been completed by the Debtor’s advisors.

**Schedule D—Creditors Holding Secured Claims.** Except as otherwise agreed pursuant to a stipulation, agreed order, or general order entered by the Court that is or becomes final, the Debtor and its estate reserve all rights to dispute or challenge the validity, perfection or immunity from avoidance of any lien purported to be granted or perfected in any specific asset to a creditor listed on Schedule D. Moreover, although the Debtor may have scheduled claims of creditors as secured claims for informational purposes, no current valuation of the Debtor’s assets in which such creditors may have a lien has been undertaken. Except as otherwise agreed pursuant to a stipulation, agreed order, or general order entered by the Court that is or becomes final, the Debtor and its estate reserve all rights to dispute or challenge the secured nature of any such claim or the characterization of the structure of any transaction, document or instrument related to any such claim. The descriptions provided in Schedule D are intended only to be a summary.

The Debtor has not included on Schedule D all parties that may believe their claims are secured through setoff rights, deposits posted by, or on behalf of, the Debtor, inchoate statutory lien rights, or real property lessors, utility companies and other parties that may hold security deposits.

By listing a party on Schedule D based on a UCC-1 filing, the Debtor and its estate are not conceding that such party actually holds a perfected, unavoidable security interest in the asset that is the subject of such filing, and reserve all rights as set forth in the Global Notes.

Certain of the amounts listed for parties on Schedule D may not be reflective of any accrued and unpaid interest, prepayment premiums, and other similar fees or expenses to which such parties may be entitled.

**Schedule E—Creditors Holding Unsecured Priority Claims.** The Debtor has listed on Schedule E certain tax claims for which the Debtor has been granted authority (but not direction) to pay pursuant to a First Day Order. The Debtor believes that such claims have been, or will be, satisfied in the ordinary course of business during the Chapter 11 Case pursuant to the authority granted in the relevant First Day Orders. The Debtor and its estate reserve all rights to dispute or challenge whether creditors listed on Schedule E are entitled to priority claims.

**Schedule F—Creditors Holding Unsecured Non-Priority Claims.** Certain creditors listed on Schedule F may owe amounts to the Debtor; as such, the Debtor and its estate may have valid setoff and recoupment rights with respect to such amounts, which rights are not reflected on Schedule F. Also, the amounts listed on Schedule F reflect known prepetition claims as of Petition Date. Such amounts do not reflect any rights of setoff or recoupment that may be asserted by any creditors listed on Schedule F, and the Debtor and its estate reserve all rights to challenge any setoff and recoupment rights that may be asserted against them. The Debtor and its estate reserve all rights to dispute or challenge the validity, perfection or immunity from avoidance of any lien purported to be perfected by a creditor listed on Schedule F.

As noted above, certain claims listed on Schedule F may be entitled to priority under section 503(b)(9) of the Bankruptcy Code, and the Debtor and its estate reserve all rights with respect to any such claims.

The Debtor has used commercially reasonable efforts to include all creditors on Schedule F; however, the Debtor believes that there are instances in which vendors have yet to provide proper invoices for prepetition goods or services. While the Debtor maintains general accruals to account for these liabilities in accordance with GAAP, these amounts are estimates and not tracked on a vendor by vendor basis, and as such may not have been included on Schedule F.

The Debtor may have listed on Schedule F certain (but not all) unsecured non-priority employee wage or benefit claims for which the Debtor has been granted authority (but not direction) to pay pursuant to a First Day Order. The Debtor believes that such claims have been, or will be, satisfied in the ordinary course of business during the Chapter 11 Case pursuant to the authority granted in the relevant First Day Orders. The Debtor and its estate reserve their rights to dispute or challenge whether creditors listed on Schedule F are entitled to priority claims.

**Schedule G—Executory Contracts and Unexpired Leases.** Although commercially reasonable efforts have been made to ensure the accuracy of Schedule G regarding executory contracts and unexpired leases, inadvertent errors, omissions or over-inclusion may have occurred in preparing Schedule G. In the ordinary course of business, the Debtor enters into various agreements with its customers and vendors. The Debtor may have entered into various other types of agreements in the ordinary course of its business, such as indemnity agreements, supplemental agreements, letter agreements, and confidentiality agreements that may not be set forth in Schedule G. Omission of a contract, lease or other agreement from Schedule G does not constitute an admission that such omitted contract, lease or agreement is not an executory contract or unexpired lease. Schedule G may be amended at any time to add any omitted executory contracts, unexpired leases and other agreements to which the Debtor is a party, including, without limitation, to add any executory contracts, unexpired leases and other agreements that the Debtor, due to the voluminous number of such contracts, leases and agreements, was unable to list on Schedule G at this time. Likewise, the listing of an agreement on Schedule G does not constitute an admission that such agreement is an executory contract or unexpired lease, or that such agreement was in effect or unexpired on the Petition Date, or is valid or enforceable. The agreements listed on Schedule G may have expired or may have been modified, amended, or supplemented from time to time by various amendments, restatements, waivers, estoppel certificates, letters and other documents, instruments and agreements that may not be listed on Schedule G.

Any and all rights, claims and causes of action of the Debtor and its estate with respect to the agreements listed on Schedule G are hereby reserved and preserved. The Debtor and its estate hereby reserve all of their rights to: (a) dispute the validity, status, or enforceability of any agreements set forth on Schedule G; (b) dispute or challenge the characterization of the structure of any transaction, document or instrument related to a creditor's claim, including, but not limited to, the agreements listed on Schedule G; and (c) amend or supplement Schedule G, as necessary, including, without limitation, to modify which Debtor entity is a counterparty to the agreement.

### **NOTES FOR STATEMENTS**

**Statement 3.** Statement 3 includes any disbursement or other transfer made by the Debtor, except for those made to insiders, employees, and bankruptcy professionals. The amounts listed in Statement 3 reflect the Debtor's disbursements netted against any check level detail; thus, to the extent a disbursement was made to pay for multiple invoices, only one entry has been listed on Statement 3.

**Statement 7.** The Debtor and its estate reserve all rights, claims, and defenses with respect to any and all listed lawsuits and administrative proceedings (or potential lawsuits and administrative proceedings). The listing of any such suits and proceedings shall not constitute an admission by the Debtor and its estate of any liabilities or that actions or proceedings were correctly filed against the Debtor. The Debtor and its estate reserve all rights to assert that the Debtor is not an appropriate party to such actions or proceedings. The Debtor may not have included on Statement 7 certain parties that may have asserted informal workers' compensation claims or similar claims that were resolved or otherwise addressed without formal litigation or an administrative hearing or similar proceeding having been commenced.

**Statement Question 26b. Firms or Individuals Who Have Audited, Compiled, or Reviewed Debtors' Books.** Due to the nature of their business and the necessity of public company-specific statutory guidance, the Debtor utilizes multiple audit and advisory firms. Generally, these firms have provided the Debtor services for years, renewing engagement letters annually as appropriate and providing services on an as needed basis. Given the nature of these long-tenured relationships, the Debtor utilizes historical payment dates to estimate the dates of service. Other third parties may have audited, compiled, or reviewed the Debtor's books, but are not included in the Debtor's responses to Statement Question 26b. The Debtor reserves the right to amend its response to Question 26b in the event new information is uncovered.

**Statement Question 26d – Recipients of Financial Statements.** Quanergy is a publicly traded company with publicly available financial statements. Accordingly, any number of parties may have received the Debtor's financial statements through such public filings. Additionally, from time to time, the Debtor may have provided financial statements in the ordinary course of business to certain parties for business, statutory, credit, financing and other reasons. Recipients may have included regulatory agencies, financial institutions, investment banks, vendors, landlords, debtholders and their legal and financial advisors. Due to the confidentiality requirements of related non-disclosure agreements, and the number of parties that have received such statements, such parties may not be listed in response to this question.

**Fill in this information to identify the case:**

Debtor name: Quanergy Systems, Inc.

United States Bankruptcy Court for the: District of Delaware

Case number: 22-11305

☐ Check if this is an amended filing
**Official Form 207****Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy**

04/22

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

**Part 1: Income****1. Gross revenue from business**
☐ None

**Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year**
**Sources of revenue**

Check all that apply

**Gross revenue**  
(before deductions and exclusions)

**From the beginning of the fiscal year to filing date:**

 From 1/1/2022 to 11/30/2022
☒ Operating a business  
☐ Other
\$5,635,470.00**For prior year:**
 From 1/1/2021 to 12/31/2021
☒ Operating a business  
☐ Other
\$3,928,043.59**For the year before that:**
 From 1/1/2020 to 12/31/2020
☒ Operating a business  
☐ Other
\$3,014,558.32**2. Non-business revenue**

Include revenue regardless of whether that revenue is taxable. Non-business income may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☒ None
**Description of sources of revenue**
**Gross revenue from each source**  
(before deductions and exclusions)

**From the beginning of the fiscal year to filing date:**

 From \_\_\_\_\_ to Filing Date
**For prior year:**

From \_\_\_\_\_ to \_\_\_\_\_

**For the year before that:**

From \_\_\_\_\_ to \_\_\_\_\_

Part 2: List Certain Transfers Made Before Filing for Bankruptcy

3. Certain payments or transfers to creditors within 90 days before filing this case

List payments or transfers - including expense reimbursements - to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$7,575. (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

☐ None

Creditor's name and address	Dates	Total amount or value	Reasons for payment or transfer Check all that apply
3.1  See SOFA Exhibit 3		\$8,341,443.03	<input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other

4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$7,575. (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. Insiders include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☐ None

Insider's name and address	Dates	Total amount or value	Reasons for payment or transfer
4.1  See Answer for SOFA 30  Relationship to debtor			<input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other

5. Repossessions, foreclosures, and returns

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

☒ None

Creditor's name and address	Description of the property	Date	Value of property
5.1			

6. Setoffs

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

☒ None

Creditor's name and address	Description of the action creditor took	Date action was taken	Amount
6.1			
	Last 4 digits of account number		

Part 3: Legal Actions or Assignments

7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

☐ None

Case title	Nature of case	Court or agency's name and address	Status of case
7.1 <div><div>Name</div><div>Velodyne LiDAR</div><div>Case number</div><div>CAFC-20-2070</div></div>	IP patent infringement disupute	<div><div>Name</div><div>Court of Appeals for the Federal Circuit</div><div>Street</div><div>95 7th St</div><div><div>City</div><div>San Francisco</div><div>State</div><div>CA</div><div>Zip</div><div>94103</div></div></div>	<div><input type="checkbox"/> Pending</div> <div><input type="checkbox"/> On appeal</div> <div><input checked="" type="checkbox"/> Concluded</div>
7.2 <div><div>Name</div><div>Akram Benmbarek</div><div>Case number</div><div>19CV347220</div></div>	Employment dispute	<div><div>Name</div><div>Santa Clara County Superior Court</div><div>Street</div><div>191 N. First Street</div><div><div>City</div><div>San Jose</div><div>State</div><div>CA</div><div>Zip</div><div>95113</div></div></div>	<div><input type="checkbox"/> Pending</div> <div><input type="checkbox"/> On appeal</div> <div><input checked="" type="checkbox"/> Concluded</div>

8. Assignments and receivership

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

Custodian's name and address	Description of the property	Value
8.1 <div><div>Custodian's name and address</div><div></div><div>Street</div><div></div><div><div>City</div><div>State</div><div>Zip</div></div></div>	<div><div>Case title</div><div></div><div>Case number</div><div></div><div>Date of order or assignment</div><div></div></div>	<div><div>Court name and address</div><div>Name</div><div></div><div>Street</div><div></div><div><div>City</div><div>State</div><div>Zip</div></div></div>

Part 4: Certain Gifts and Charitable Contributions

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

☒ None

Recipient's name and address	Description of the gifts or contributions	Dates given	Value
9.1 <div><div>Recipient's name</div><div></div><div>Street</div><div></div><div><div>City</div><div>State</div><div>Zip</div></div><div>Recipient's relationship to debtor</div><div></div></div>			

**Part 5: Losses****10. All losses from fire, theft, or other casualty within 1 year before filing this case**☒ None**Description of the property lost and how the loss occurred****Amount of payments received for the loss**

If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received. List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property).

**Date of loss****Value of property lost**

10.1

**Part 6: Certain Payments or Transfers****11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None**Who was paid or who received the transfer?****If not money, describe any property transferred****Dates****Total amount or value**

11.1

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

9/26/2022

\$117,638.79

11.2

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

10/3/2022

\$179,508.85

11.3

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

10/17/2022

\$343,163.35

11.4

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

10/18/2022

\$250,000.00

11.5

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com

**Who made the payment, if not debtor?**

10/31/2022

\$239,658.10

11.6

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

11/18/2022

\$372,660.26

11.7

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

11/30/2022

\$350,000.00

11.8

Cooley LLP  
3175 Hanover Street  
Palo Alto, CA 94304-1130

**Email or website address**

www.cooley.com/

**Who made the payment, if not debtor?**

12/12/2022

\$400,000.00

11.9

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

9/27/2022

\$100,000.00

11.10

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/6/2022

\$40,000.00

11.11

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/11/2022

\$45,000.00

11.12

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/14/2022

\$45,000.00

11.13

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/21/2022

\$50,000.00

11.14

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/21/2022

\$109,379.50

11.15

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

10/26/2022

\$104,911.50

11.16

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

11/14/2022

\$31,954.50

11.17

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

11/23/2022

\$100,570.50

11.18

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

12/5/2022

\$101,936.50

11.19

FTI Consulting, Inc  
555 12th Street  
Suite 700  
Washington, DC 20004

**Email or website address**  
www.fticonsulting.com

**Who made the payment, if not debtor?**

12/8/2022\$425,000.00

11.20

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**  
www.raymondjames.com

**Who made the payment, if not debtor?**

7/8/2022\$75,000.00

11.21

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**  
www.raymondjames.com

**Who made the payment, if not debtor?**

8/3/2022\$75,000.00

11.22

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**  
www.raymondjames.com

**Who made the payment, if not debtor?**

9/6/2022\$75,000.00

11.23

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**  
www.raymondjames.com

**Who made the payment, if not debtor?**

10/3/2022\$75,000.00

11.24

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**

www.raymondjames.com

**Who made the payment, if not debtor?**11/1/2022\$75,000.00

11.25

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**

www.raymondjames.com

**Who made the payment, if not debtor?**12/6/2022\$80,905.74

11.26

Raymond James & Associates, Inc  
880 Carillon Parkway  
St. Petersburg, FL 33716

**Email or website address**

www.raymondjames.com

**Who made the payment, if not debtor?**12/8/2022\$250,000.00

11.27

Sierra Constellation Partners  
355 S Grand Ave #1450  
Los Angeles, CA 90071

**Email or website address**

www.sierraconstellation.com

**Who made the payment, if not debtor?**12/12/2022\$50,000.00

11.28

Stretto  
410 Exchange Place  
Suite 100  
Irvine, CA 92602

**Email or website address**

www.stretto.com

**Who made the payment, if not debtor?**12/5/2022\$25,000.00

11.29			
	<div>Young Conaway Stargatt &amp; Taylor, LLP</div> <div>Rodney Square</div> <div>1000 North King Street</div> <div>Wilmington, DE 19801</div>		<div>10/12/2022</div> <div>\$50,000.00</div>
	<div>Email or website address</div> <div>www.youngconaway.com</div>		
	<div>Who made the payment, if not debtor?</div> <div></div>		
11.30			
	<div>Young Conaway Stargatt &amp; Taylor, LLP</div> <div>Rodney Square</div> <div>1000 North King Street</div> <div>Wilmington, DE 19801</div>		<div>10/26/2022</div> <div>\$150,000.00</div>
	<div>Email or website address</div> <div>www.youngconaway.com</div>		
	<div>Who made the payment, if not debtor?</div> <div></div>		
11.31			
	<div>Young Conaway Stargatt &amp; Taylor, LLP</div> <div>Rodney Square</div> <div>1000 North King Street</div> <div>Wilmington, DE 19801</div>		<div>11/28/2022</div> <div>\$250,000.00</div>
	<div>Email or website address</div> <div>www.youngconaway.com</div>		
	<div>Who made the payment, if not debtor?</div> <div></div>		
11.32			
	<div>Young Conaway Stargatt &amp; Taylor, LLP</div> <div>Rodney Square</div> <div>1000 North King Street</div> <div>Wilmington, DE 19801</div>		<div>12/12/2022</div> <div>\$200,000.00</div>
	<div>Email or website address</div> <div>www.youngconaway.com</div>		
	<div>Who made the payment, if not debtor?</div> <div></div>		

12. Self-settled trusts of which the debtor is a beneficiary

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

☒ None

Name of trust or device	Describe any property transferred	Dates transfers were made	Total amount or value
12.1			
<div>Trustee</div> <div></div>			

Name

13. Transfers not already listed on this statement

List any transfers of money or other property by sale, trade, or any other means made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

☐ None

Who received transfer?	Description of property transferred or payments received or debts paid in exchange	Date transfer was made	Total amount or value
13.1  Heritage Global Partners 12625 High Bluff Drive Suite 305 San Diego, CA 92130  Relationship to debtor Third-Party Equipment Broker	Fixed assets for S-3 production	10/31/2022	\$327,000.00
13.2  Waymo LLC 2011 Stierlin Court Mountain View, CA 94043  Relationship to debtor Asset Purchaser	8000i Automatic Ball Bumper/Ball Bonder Palomar	12/31/2020	\$109,000.00
13.3  Velodyne LiDAR USA Inc. 5521 Hellyer Avenue San Jose, CA 95138  Relationship to debtor Litigation counter party	Cash	12/1/2022	\$500,000.00

Part 7: Previous Locations

14. Previous addresses

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

☐ Does not apply

Address	Dates of occupancy
14.1  Street 822 Mercury Drive  City Sunnyvale  State CA  Zip 94085	From 12/5/2014  to 11/1/2019

Part 8: Health Care Bankruptcies

15. Health Care bankruptcies

Is the debtor primarily engaged in offering services and facilities for:

- ☐ diagnosing or treating injury, deformity, or disease, or
- ☐ providing any surgical, psychiatric, drug treatment, or obstetric care?
- ☒ No. Go to part 9.
- ☐ Yes. Fill in the information below.

Facility name and address			Nature of the business operation, including type of services the debtor provides	If debtor provides meals and housing, number of patients in debtor's care
15.1 Street			Location where patient records are maintained(if different from facility address). If electronic, identify any service provider	How are records kept? Check all that apply: <input type="checkbox"/> Electronically <input type="checkbox"/> Paper
CityStateZip				

Part 9: Personally Identifiable Information

16. Does the debtor collect and retain personally identifiable information of customers?

- ☒ No.
- ☐ Yes. State the nature of the information collected and retained.

Does the debtor have a privacy policy about that information?

☐ No

☐ Yes

17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?

- ☐ No. Go to Part 10.
- ☒ Yes. Does the debtor serve as plan administrator?

☒ No. Go to Part 10.

☐ Yes. Fill in below:

Name of plan	Employer identification number of the plan
Has the plan been terminated? <div><input checked="" type="checkbox"/> No</div> <div><input type="checkbox"/> Yes</div>	

Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units

18. Closed financial accounts

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor’s name, or for the debtor’s benefit, closed, sold, moved, or transferred? Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

☒ None

Financial institution name and address	Last 4 digits of account number	Type of account	Date account was closed, sold, moved, or transferred	Last balance before closing or transfer
18.1 Name _____ Street _____ City _____ State _____ Zip _____		<input type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Money market <input type="checkbox"/> Brokerage <input type="checkbox"/> Other _____		

19. Safe deposit boxes

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

☒ None

Depository institution name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
19.1 Name _____ Street _____ City _____ State _____ Zip _____	_____ Address _____		<input type="checkbox"/> No <input type="checkbox"/> Yes

20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

☐ None

Facility name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
20.1 Name Fabrinet _____ Street Head Office: 5/6 Moo 6, Tambol Klong Nueng, Amphur Klonggluang _____ City Pathumtani Province _____ State Thailand _____ Zip 12120 _____	Consigned with Vendor _____ Address Head Office: 5/6 Moo 6, Tambol Klong Nueng, Amphur Klonggluang, Pathumtani Province, 12120 Thailand _____	Inventory/Raw Materials/WIP _____	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes

20.2

Name

Sanmina Corporation

Street

590 Brennan Street, Dock 7

City

San Jose

State

CA

Zip

95131

Consigned with Vendor

Address

590 Brennan Street, Dock 7

San Jose, CA, 95131

Inventory/Raw Materials/WIP

☐ No

☒ Yes

20.3

Name

Sanmina-SCI Systems Thailand LTD

Street

90 Moo 1 Tiwanon Road, Banmai, Muang, Pathumthani

City

Muang

State

Thailand

Zip

12000

Consigned with Vendor

Address

90 Moo 1 Tiwanon Road, Banmai, Muang, Pathumthani, Muang, 12000, Thailand

Inventory/Raw Materials/WIP

☐ No

☒ Yes

20.4

Name

Sierra Circuits

Street

1108 West Evelyn Avenue

City

Sunnyvale

State

CA

Zip

94086

Consigned with Vendor

Address

1108 West Evelyn Avenue

Sunnyvale, CA, 94086

Inventory/Raw Materials/WIP

☐ No

☒ Yes

Part 11:

Property the Debtor Holds or Controls that the Debtor Does Not Own

21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property

☒ None

Owner's name and address	Location of the property	Description of the property	Value
21.1			

Part 12: Details About Environmental Information

For the purpose of Part 12, the following definitions apply:

- Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders

- ☒ No.
- ☐ Yes. Provide details below.

Case title	Court or agency name and address	Nature of the case	Status of case
22.1			
	Name		<input type="checkbox"/> Pending
Case Number	Street		<input type="checkbox"/> On appeal
	CityStateZip		<input type="checkbox"/> Concluded

23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

- ☒ No
- ☐ Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
23.1			
Name	Name		
Street	Street		
CityStateZip	CityStateZip		

24. Has the debtor notified any governmental unit of any release of hazardous material?

- ☒ No
- ☐ Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
24.1			
Name	Name		
Street	Street		
CityStateZip	CityStateZip		

Part 13: Details About the Debtor's Business or Connections to Any Business

25. Other businesses in which the debtor has or has had an interest

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

☐ None

Business name and address	Describe the nature of the business	Employer Identification number Do not include Social Security number or ITIN.	
25.1  Quanergy (Shanghai) Electronic Science & Technology Ltd. Room 205 of Bldg 3 111 Xingke Road Pudong District Shanghai China	Local Sales	EIN	N/A
		Dates business existed	
		From	to
		3/25/2016	Present
25.2  Quanergy Perception Technologies, Inc. 433 Lakeside Drive Sunnyvale, CA 94085	Pre-Merger Holding Company	EIN	46-1551773
		Dates business existed	
		From	to
		10/1/2012	Present
25.3  Quanergy Systems Canada, Inc. 265 Carling Ave. Ottawa, ON K1S 2E1 Canada	Research and Development	EIN	N/A
		Dates business existed	
		From	to
		10/26/2015	Present
25.4  Quanergy Systems FZ-LLC Dubai Studio City Commercial Build 14th Floor Dubai 73000 United Arab Emirates	Local Sales	EIN	N/A
		Dates business existed	
		From	to
		10/1/2015	Present
25.5  Quanergy Systems Hong Kong Limited Unit 806, 8/F, Tower II Cheung ShaWan Plaza 833 Cheung Sha Wan Road Kowloon, Hong Kong China	Holding Company	EIN	N/A
		Dates business existed	
		From	to
		11/10/2015	Present
25.6  Quanergy Systems Japan GK Nagamine Mishima Accounting Office 4F sano Park Tower 2-11-1 Nagata-cho Chiyoda-ku Tokyo 100-6104 Japan	Local Sales	EIN	N/A
		Dates business existed	
		From	to
		7/29/2016	Present

25.7	Quanergy Systems UK Limited 1 Chapel St Warwick CV34 4HL United Kingdom	Local Sales	EIN	N/A
			Dates business existed	
			From	to
			12/16/2016	Present
25.8	Quanergy Systems, GmbH Ebner Stolz Kronstrasse 30 Stuttgart 70174 Germany	Local Sales	EIN	N/A
			Dates business existed	
			From	to
			12/21/2016	Present

26. Books, records, and financial statements

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

☐ None

Name and address		Dates of service	
26a.1	<div>Albeck Financial Services Inc 11757 Katy Freeway 1300A Houston, TX 77079</div>	<div>From 7/21/2020</div>	<div>to Present</div>
26a.2	<div>Chris Hopkins 433 Lakeside Drive Sunnyvale, CA 94085</div>	<div>From 3/1/2017</div>	<div>to Present</div>
26a.3	<div>Effectus Group 1735 Technology Drive 780 San Jose, CA 95110</div>	<div>From 1/1/2018</div>	<div>to Present</div>
26a.4	<div>Moss Adams LLP PO Box 101822 Pasadena, CA 91189-1822</div>	<div>From 8/22/2017</div>	<div>to Present</div>
26a.5	<div>Patrick Archambault 433 Lakeside Drive Sunnyvale, CA 94085</div>	<div>From 10/6/2016</div>	<div>to Present</div>

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

None

Name and address

26b.1

Ernst & Young

303 S Almaden Boulevard

San Jose, CA 95110

Dates of service

From

1/1/2016

to

12/31/2018

26b.2

Armanino, LLP  
50 W San Fernando Street  
San Jose, CA 95113

From

1/1/2019

to

12/31/2019

26b.3

Grant Thornton, LLP  
10 S Almaden Boulevard  
Suite 800  
San Jose, CA 95113

From

1/1/2020

to

Present

26c. List all firms or individuals who were in possession of the debtor’s books of account and records when this case is filed.

☐

None

Name and address	If any books of account and records are unavailable, explain why
------------------	--

26c.1

Albeck Financial Services Inc  
11757 Katy Freeway  
1300A  
Houston, TX 77079

26c.2

Armanino, LLP  
50 W San Fernando Street  
San Jose, CA 95113

26c.3

Chris Hopkins  
433 Lakeside Drive  
Sunnyvale, CA 94085

26c.4

Effectus Group  
1735 Technology Drive  
780  
San Jose, CA 95110

26c.5

Ernst & Young  
303 S Almaden Boulevard  
San Jose, CA 95110

26c.6

Grant Thornton, LLP  
10 S Almaden Boulevard  
Suite 800  
San Jose, CA 95113

26c.7

Moss Adams LLP  
PO Box 101822  
Pasadena, CA 91189-1822

26c.8

Patrick Archambault  
433 Lakeside Drive  
Sunnyvale, CA 94085

26c.9

Withum Smith & Brown, PC.  
1925 Palomar Oaks Way  
Suite 210  
Carlsbad, CA 92008

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

☐

None

Name and address

26d.1

Amazon  
1100 Enterprise Way  
Sunnyvale, CA 94089

26d.2

Credit Suisse AG  
650 California Street  
San Francisco, CA 94108

26d.3

Honeywell Electronics Materials  
1349 Moffett Park Drive  
Sunnyvale, CA 94089

26d.4

Peninsula Land and Capital  
2390 El Camino Real  
Palo Alto, CA 94306

27. Inventories

Have any inventories of the debtor’s property been taken within 2 years before filing this case?

☐

No

☒

Yes. Give the details about the two most recent inventories.

Name of the person who supervised the taking of the inventory

Raj Bhullar

Date of inventory

1/19/2021

The dollar amount and basis (cost, market, or other basis) of each inventory

\$4,817,124. Lower of cost or lowest net realizable value

Name and address of the person who has possession of inventory records

27.1

Chris Hopkins  
433 Lakeside Drive  
Sunnyvale, CA 94085

Name of the person who supervised the taking of the inventory	Date of inventory	The dollar amount and basis (cost, market, or other basis) of each inventory
Raj Bhullar	1/17/2022	\$3,242,165. Lower of cost or lowest net realizable value

Name and address of the person who has possession of inventory records
27.2  Chris Hopkins 433 Lakeside Drive Sunnyvale, CA 94085

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

Name and Address	Position and nature of any interest	% of interest, if any
28.1  Bradley James Sherrard 433 Lakeside Drive Sunnyvale, CA 94085	Chief Revenue Officer	N/A
28.2  Lori Sundberg 433 Lakeside Drive Sunnyvale, CA 94085	Chief Human Resources	N/A
28.3  Patrick Archambault 433 Lakeside Drive Sunnyvale, CA 94085	Chief Financial Officer	N/A
28.4  Tianyue Yu 433 Lakeside Drive Sunnyvale, CA 94085	Chief Development Officer	N/A
28.5  Vincenzo Signore 433 Lakeside Drive Sunnyvale, CA 94085	Chief Marketing Officer	N/A
28.6  Jerry Allison 433 Lakeside Drive Sunnyvale, CA 94085	General Counsel	N/A
28.7  Kevin Amiri 433 Lakeside Drive Sunnyvale, CA 94085	Senior Vice President of Operations	N/A

28.8	Jim DiSanto 433 Lakeside Drive Sunnyvale, CA 94085	Board Member	N/A
28.9	Karen C. Francis 433 Lakeside Drive Sunnyvale, CA 94085	Board Member	N/A
28.10	Lawrence Perkins 433 Lakeside Drive Sunnyvale, CA 94085	Chief Restructuring Officer	N/A
28.11	Tamer Hassanein 433 Lakeside Drive Sunnyvale, CA 94085	Board Member	N/A
28.12	Thomas M. Rohrs 433 Lakeside Drive Sunnyvale, CA 94085	Board Member	N/A
28.13	Uzma Hassan 433 Lakeside Drive Sunnyvale, CA 94085	Head of Human Resources	N/A

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

☐ No

☒ Yes. Identify below.

Name and Address	Position and nature of any interest	Period during which position or interest was held	
29.1  Kevin J. Kennedy 433 Lakeside Drive Sunnyvale, CA 94085	Former Chief Executive Officer, Chairman of the Board	From 3/27/2020	to 12/12/2022
29.2  Lisa Kelly 433 Lakeside Drive Sunnyvale, CA 94085	Former Board Member	From 8/1/2022	to 12/12/2022
29.3  Matthew Hammond 433 Lakeside Drive Sunnyvale, CA 94085	Former Board Member	From 1/1/2020	to 9/30/2022

30. Payments, distributions, or withdrawals credited or given to insiders

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

- ☐ No
- ☒ Yes. Identify below.

Name and address of recipient	Amount of money or description and value of property	Dates	Reason for providing the value
30.1 <div>See SOFA Exhibit 30</div>	<div>\$3,385,940.63</div>		
Relationship To Debtor			

31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?

- ☒ No
- ☐ Yes. Identify below.

Name of the parent corporation	Employer Identification number of the parent corporation
31.1	EIN

32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?

- ☒ No
- ☐ Yes. Identify below.

Name of the pension fund	Employer Identification number of the pension fund
32.1	EIN

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
Accuracy Tech Machining Inc	3335 Edward Ave			Santa Clara	CA	95054		10/7/2022	\$2,725.00	Suppliers or vendors
Accuracy Tech Machining Inc	3335 Edward Ave			Santa Clara	CA	95054		11/2/2022	\$12,550.00	Suppliers or vendors
Accuracy Tech Machining Inc	3335 Edward Ave			Santa Clara	CA	95054		11/18/2022	\$1,525.00	Suppliers or vendors
Accuracy Tech Machining Inc	3335 Edward Ave			Santa Clara	CA	95054		12/2/2022	\$475.00	Suppliers or vendors
Adiuvo Engineering & Training	Attn: Adam Taylor	1 Bunting Street			Newhall	CM17 9GN	United Kingdom	9/16/2022	\$5,830.00	Suppliers or vendors
Adiuvo Engineering & Training	Attn: Adam Taylor	1 Bunting Street			Newhall	CM17 9GN	United Kingdom	11/14/2022	\$30,000.00	Services
ALLHDD	9229 Queens Blvd Tower			Rego Park	NY	11374		10/1/2022	\$11,261.25	Suppliers or vendors
Alvarez & Marsal Holdings, LLC	2029 Century Park E			Los Angeles	CA	90067		9/16/2022	\$117,420.00	Services
Alvarez & Marsal Holdings, LLC	2029 Century Park E			Los Angeles	CA	90067		11/4/2022	\$39,140.00	Services
AP FBO Triple Crown Consulting	PO Box 31001-2434			Pasadena	CA	91110-2434		11/14/2022	\$17,938.50	Services
AP FBO Triple Crown Consulting	PO Box 31001-2434			Pasadena	CA	91110-2434		11/23/2022	\$49,257.75	Services
AP FBO Triple Crown Consulting	PO Box 31001-2434			Pasadena	CA	91110-2434		12/2/2022	\$20,480.00	Services
AP FBO Triple Crown Consulting	PO Box 31001-2434			Pasadena	CA	91110-2434		12/9/2022	\$20,445.50	Services
Avnet Electronics, Inc.	5400 Prairie Stone Parkway			Hoffman Estates	IL	60192		11/1/2022	\$11,071.48	Suppliers or vendors
Avnet Electronics, Inc.	5400 Prairie Stone Parkway			Hoffman Estates	IL	60192		11/3/2022	\$77,300.00	Suppliers or vendors
Bethany Kanui	Address on File							9/30/2022	\$4,500.00	Services
Bethany Kanui	Address on File							10/7/2022	\$6,480.00	Services
Bethany Kanui	Address on File							10/21/2022	\$5,940.00	Services
Bethany Kanui	Address on File							11/14/2022	\$5,940.00	Services
Bethany Kanui	Address on File							12/2/2022	\$11,340.00	Services
Bethany Kanui	Address on File							12/12/2022	\$5,000.00	Services
BSI.tv, Inc	1054 Opal Street, Unit A			San Diego	CA	92109		9/23/2022	\$6,000.00	Suppliers or vendors
BSI.tv, Inc	1054 Opal Street, Unit A			San Diego	CA	92109		11/14/2022	\$9,000.00	Suppliers or vendors
Business Wire	Department 34182	PO Box 39000		San Francisco	CA	94139		11/4/2022	\$10,750.00	Services
California Dept of Tax & Fee Administration	PO Box 942879			Sacramento	CA	94279-3535		10/31/2022	\$12,203.00	Other

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
Checkmate Productions, LLC	1938 Ashley Ridge Road			Sandy	UT	84092		9/30/2022	\$6,092.80	Suppliers or vendors
Checkmate Productions, LLC	1938 Ashley Ridge Road			Sandy	UT	84092		11/18/2022	\$3,510.00	Suppliers or vendors
Checkmate Productions, LLC	1938 Ashley Ridge Road			Sandy	UT	84092		12/2/2022	\$6,307.75	Suppliers or vendors
Chronoptics Limited	18 Mexted Place			Riverlea	Hamilton	3216	New Zealand	9/23/2022	\$13,800.00	Suppliers or vendors
Cofan USA, Inc.	48664 Milmont Dr			Fremont	CA	94538		11/4/2022	\$8,266.58	Suppliers or vendors
Cofan USA, Inc.	48664 Milmont Dr			Fremont	CA	94538		11/14/2022	\$14,412.16	Suppliers or vendors
Continental Stock Transfer & Trust Company	One State Street Plaza	30th Floor		New York	NY	10004		9/16/2022	\$4,293.15	Other
Continental Stock Transfer & Trust Company	One State Street Plaza	30th Floor		New York	NY	10004		10/20/2022	\$3,403.85	Other
David Horn Consulting	Attn: David Horn	16240 Oak Canyon Drive		Morgan Hill	CA	95037		10/21/2022	\$23,230.00	Services
DeWinter Group	1919 South Bascom Ave #250			Campbell	CA	95008		9/23/2022	\$8,640.00	Suppliers or vendors
DeWinter Group	1919 South Bascom Ave #250			Campbell	CA	95008		11/14/2022	\$3,850.00	Suppliers or vendors
DeWinter Group	1919 South Bascom Ave #250			Campbell	CA	95008		11/18/2022	\$1,050.00	Suppliers or vendors
Donohoe Advisory Associates LLC	801 Washingtonian Blvd Ste 340			Gaithersburg	MD	20878		11/23/2022	\$20,000.00	Services
Edward & Eva Brown	Address on File							10/31/2022	\$29,281.20	Other
Edward & Eva Brown	Address on File							11/4/2022	\$24,401.00	Other
Effectus Group, LLC	1735 Technology Dr #780			San Jose	CA	95110		11/14/2022	\$21,032.50	Services
Effectus Group, LLC	1735 Technology Dr #780			San Jose	CA	95110		12/2/2022	\$4,060.00	Services
Expandable Software, Inc.	900 Lafayette	Suite 400		Santa Clara	CA	95054		9/30/2022	\$4,507.20	Suppliers or vendors
Expandable Software, Inc.	900 Lafayette	Suite 400		Santa Clara	CA	95054		10/28/2022	\$5,890.54	Suppliers or vendors
Expandable Software, Inc.	900 Lafayette	Suite 400		Santa Clara	CA	95054		11/14/2022	\$4,331.00	Suppliers or vendors
Expandable Software, Inc.	900 Lafayette	Suite 400		Santa Clara	CA	95054		12/2/2022	\$4,331.00	Suppliers or vendors
Fabrinet West	4900 Patrick Henry Drive			Santa Clara	CA	95054		11/23/2022	\$65,227.20	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		9/16/2022	\$3,904.81	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		9/23/2022	\$11,787.03	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		10/14/2022	\$9,794.49	Suppliers or vendors

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		11/4/2022	\$11,694.66	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		11/11/2022	\$5,864.01	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		11/23/2022	\$5,401.99	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		12/2/2022	\$776.54	Suppliers or vendors
Fedex Freight	PO Box 7221			Pasadena	CA	91109-7321		12/9/2022	\$1,844.70	Suppliers or vendors
FINRA Cash Concentration	1735 K Street, NW			Washington	DC	20006		9/30/2022	\$3,087.50	Other
FINRA Cash Concentration	1735 K Street, NW			Washington	DC	20006		10/13/2022	\$2,701.05	Other
FINRA Cash Concentration	1735 K Street, NW			Washington	DC	20006		10/31/2022	\$7,757.58	Other
First Shield Security & Patrol	1660 W Linne Rd			Tracy	CA	95377		9/23/2022	\$1,189.76	Services
First Shield Security & Patrol	1660 W Linne Rd			Tracy	CA	95377		10/14/2022	\$1,189.76	Services
First Shield Security & Patrol	1660 W Linne Rd			Tracy	CA	95377		12/9/2022	\$2,785.12	Services
First Shield Security & Patrol	1660 W Linne Rd			Tracy	CA	95377		12/12/2022	\$2,676.96	Services
Force4 Technology Comm., LLC	301 Clinton Street	Suite A		Santa Cruz	CA	95062		11/14/2022	\$34,393.12	Suppliers or vendors
Force4 Technology Comm., LLC	301 Clinton Street	Suite A		Santa Cruz	CA	95062		11/18/2022	\$17,196.56	Suppliers or vendors
FunnelSource, Inc.	100 Pine Street	Suite 1250		SanFrancisco	CA	94111		11/4/2022	\$11,727.00	Suppliers or vendors
GEM GLOBAL YIELD LLC SCS	9 West 57th Street	49th FL		New York	NY	10019		11/14/2022	\$34,570.00	Other
Goodwill - Payroll	24 Old Queen Street			Westminster	London	SW1H 9HP	United Kingdom	9/14/2022	\$60,779.94	Services
Goodwill - Payroll	24 Old Queen Street			Westminster	London	SW1H 9HP	United Kingdom	10/21/2022	\$58,523.97	Services
Goodwill - Payroll	24 Old Queen Street			Westminster	London	SW1H 9HP	United Kingdom	11/16/2022	\$51,318.97	Services
Goodwill - Payroll	24 Old Queen Street			Westminster	London	SW1H 9HP	United Kingdom	12/6/2022	\$54,045.24	Services
Grant Thornton LLP	2555 Camelback Road	Suite 500		Phoenix	AZ	85016		11/4/2022	\$98,110.00	Services
HOA PHAM	Address on File							9/23/2022	\$7,100.00	Services
HOA PHAM	Address on File							10/7/2022	\$2,000.00	Services
HOA PHAM	Address on File							10/14/2022	\$2,000.00	Services
HOA PHAM	Address on File							11/14/2022	\$5,000.00	Services

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
HOA PHAM	Address on File							12/12/2022	\$2,800.00	Services
ICR, LLC	761 Main Avenue			Norwalk	CT	06851		11/4/2022	\$20,800.00	Suppliers or vendors
Infortrend Corporation	435 Lakeside Drive			Sunnyvale	CA	94085		10/31/2022	\$106,733.55	Other
Infortrend Corporation	435 Lakeside Drive			Sunnyvale	CA	94085		12/1/2022	\$106,733.55	Other
IRISO USA, Inc.	34405 West Twelve Mile Road	Suite 237		Farmington Hills	MI	48331		11/11/2022	\$7,935.00	Other
Ironwood Associates LLC	P.O. BOX 2182			Carefree	AZ	85377		12/7/2022	\$9,644.13	Services
Jeff Ploshay	Address on File							9/16/2022	\$2,050.00	Other
Jeff Ploshay	Address on File							10/7/2022	\$3,750.00	Other
Jeff Ploshay	Address on File							11/4/2022	\$2,600.00	Other
Jeff Ploshay	Address on File							12/12/2022	\$2,850.00	Other
Kroll Associates, Inc.	600 3rd Avenue, 4th Floor			New York	NY	10016		11/11/2022	\$8,400.00	Services
Law Offices of Jeremy Pasterna	354 Pine St 5th floor			San Francisco	CA	94104		10/20/2022	\$125,000.00	Services
Material Handling Industry	8720 Red Oak Blvd., Suite 201			Charlotte	NC	28217		9/16/2022	\$4,332.00	Suppliers or vendors
Material Handling Industry	8720 Red Oak Blvd., Suite 201			Charlotte	NC	28217		11/4/2022	\$5,415.00	Suppliers or vendors
Michael Healy	Address on File							10/7/2022	\$8,035.00	Other
Michael Healy	Address on File							10/28/2022	\$2,100.00	Other
Michael Healy	Address on File							12/12/2022	\$1,515.00	Other
Mike Kirk	Address on File							10/14/2022	\$12,250.00	Other
Mike Kirk	Address on File							10/21/2022	\$2,250.00	Other
Moss Adams LLP	635 Campbell Technology Parkway			Campbell	CA	95008		11/4/2022	\$14,663.00	Services
Moss Adams LLP	635 Campbell Technology Parkway			Campbell	CA	95008		11/14/2022	\$10,663.00	Services
Mouser Electronics Inc. - AP	PO Box 99319			Fort Worth	TX	76199-0319		11/14/2022	\$7,116.88	Suppliers or vendors
Mouser Electronics Inc. - AP	PO Box 99319			Fort Worth	TX	76199-0319		12/2/2022	\$2,274.67	Suppliers or vendors
Multiplier Technologies Pte Ltd	20A Tanjong Pagar Road			Singapore		88443	Singapore	9/27/2022	\$68,660.94	Services
Multiplier Technologies Pte Ltd	20A Tanjong Pagar Road			Singapore		88443	Singapore	10/31/2022	\$84,573.00	Services

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
Multiplier Technologies Pte Ltd	20A Tanjong Pagar Road			Singapore		88443	Singapore	11/29/2022	\$75,976.54	Services
Multiplier Technologies Pte Ltd	20A Tanjong Pagar Road			Singapore		88443	Singapore	12/9/2022	\$65,602.19	Services
N.F. Smith & Associates, L.P.	5306 Hollister Street			Houston	TX	77040		11/14/2022	\$52,500.00	Services
Nanolab Technologies, Inc.	1708 Mccarthy Blvd			Milpitas	CA	95035		11/18/2022	\$8,936.00	Suppliers or vendors
NYSE Market (DE), Inc.	PO Box 734514			Chicago	IL	60673-4514		11/22/2022	\$20,000.00	Other
Pacific Gas & Electric	Box 997300			Sacramento	CA	95899-7300		9/21/2022	\$17,588.74	Other
Pacific Gas & Electric	Box 997300			Sacramento	CA	95899-7300		10/20/2022	\$17,495.97	Other
Pacific Gas & Electric	Box 997300			Sacramento	CA	95899-7300		11/18/2022	\$12,615.91	Other
Paul Leong	Address on File							10/21/2022	\$4,295.58	Other
Paul Leong	Address on File							12/9/2022	\$1,855.13	Other
Paul Leong	Address on File							12/12/2022	\$2,242.52	Other
Pearl Meyer & Partners	461 5th Avenue	19th Floor		New York	NY	10017		9/16/2022	\$23,275.00	Services
Pitchers Internet Private Ltd	Attn: Rashmikanth Jha	4th Floor, Indique Sigma	3rd Block, Koramangala	Bangalore	Karnataka	560034	India	9/16/2022	\$25,000.00	Suppliers or vendors
Roth Staffing Companies, L.P.	450 North State Collage Blvd.			Orange	CA	92868		9/16/2022	\$1,419.55	Services
Roth Staffing Companies, L.P.	450 North State Collage Blvd.			Orange	CA	92868		11/4/2022	\$19,249.34	Services
Roth Staffing Companies, L.P.	450 North State Collage Blvd.			Orange	CA	92868		11/14/2022	\$9,812.87	Services
Roth Staffing Companies, L.P.	450 North State Collage Blvd.			Orange	CA	92868		12/9/2022	\$16,520.31	Services
SANMINA	PO Box 842162			Dallas	TX	75284-2162		9/23/2022	\$17,337.36	Suppliers or vendors
Securities and Exchange Commis	PO Box 979081			St. Louis	MD	63197-9000		10/13/2022	\$3,000.00	Other
Securities and Exchange Commis	PO Box 979081			St. Louis	MD	63197-9000		10/21/2022	\$10,000.00	Other
SEIPO CORPORATION	5855 Cunningham Road			Houston	TX	77041		11/14/2022	\$19,635.00	Suppliers or vendors
SEIPO CORPORATION	5855 Cunningham Road			Houston	TX	77041		11/18/2022	\$2,031.90	Suppliers or vendors
Silicon Valley Building Maintenance, Inc.	PO Box 14378			Fremont	CA	94539		10/14/2022	\$2,775.00	Suppliers or vendors
Silicon Valley Building Maintenance, Inc.	PO Box 14378			Fremont	CA	94539		10/28/2022	\$2,775.00	Suppliers or vendors

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
Silicon Valley Building Maintenance, Inc.	PO Box 14378			Fremont	CA	94539		11/18/2022	\$2,775.00	Suppliers or vendors
Silicon Valley Building Maintenance, Inc.	PO Box 14378			Fremont	CA	94539		12/12/2022	\$2,775.00	Suppliers or vendors
Solium Plan Managers LLC	Suite 1500-600 3rd Ave SW			Calgary	AB	T2P 0G5	Canada	11/1/2022	\$14,105.07	Services
Solium Plan Managers LLC	Suite 1500-600 3rd Ave SW			Calgary	AB	T2P 0G5	Canada	11/14/2022	\$7,000.00	Services
Spanidea Systems LLC	1525 McCarthy Blvd	#1039		Milpitas	CA	95035		9/16/2022	\$11,440.00	Services
Spanidea Systems LLC	1525 McCarthy Blvd	#1039		Milpitas	CA	95035		9/23/2022	\$71,560.00	Services
Spanidea Systems LLC	1525 McCarthy Blvd	#1039		Milpitas	CA	95035		11/4/2022	\$13,720.00	Services
Spanidea Systems LLC	1525 McCarthy Blvd	#1039		Milpitas	CA	95035		11/14/2022	\$8,560.00	Services
Spanidea Systems LLC	1525 McCarthy Blvd	#1039		Milpitas	CA	95035		12/9/2022	\$18,920.00	Services
Trinet Employer Grp Canada Inc	9805 Double R Blvd			Reno	NV	89521		10/27/2022	\$48,542.32	Services
Trinet Employer Grp Canada Inc	9805 Double R Blvd			Reno	NV	89521		11/7/2022	\$3,655.20	Services
Trinet Employer Grp Canada Inc	9805 Double R Blvd			Reno	NV	89521		11/9/2022	\$39,053.22	Services
Trinet Employer Grp Canada Inc	9805 Double R Blvd			Reno	NV	89521		12/12/2022	\$20,908.98	Services
TriNet HR III-A, Inc	1100 San Leandro Blvd.	Suite 400		San Leandro	CA	94577		12/7/2022	\$612,775.38	Services
TriNet HR III-A, Inc	1100 San Leandro Blvd.	Suite 400		San Leandro	CA	94577		12/12/2022	\$49,046.34	Services
TriNet HR III-A, Inc	1100 San Leandro Blvd.	Suite 400		San Leandro	CA	94577		12/12/2022	\$289,165.26	Services
TruAdvantage	19550 Vallco Parkway	Suite 208		Cupertino	CA	95014		10/1/2022	\$23,850.00	Suppliers or vendors
TruAdvantage	19550 Vallco Parkway	Suite 208		Cupertino	CA	95014		11/4/2022	\$23,850.00	Suppliers or vendors
Unrivaed Software Solutions	3563 Heron Drive			Folsom	CA	96530		10/7/2022	\$2,610.00	Services
Unrivaed Software Solutions	3563 Heron Drive			Folsom	CA	96530		10/24/2022	\$2,520.00	Services
Unrivaed Software Solutions	3563 Heron Drive			Folsom	CA	96530		12/12/2022	\$2,430.00	Services
Unrivaed Software Solutions	3563 Heron Drive			Folsom	CA	96530		12/12/2022	\$2,610.00	Services
UPS	PO Box 650116			Dallas	TX	75265-0116		9/16/2022	\$13,630.90	Suppliers or vendors
UPS	PO Box 650116			Dallas	TX	75265-0116		10/7/2022	\$6,914.42	Suppliers or vendors
UPS	PO Box 650116			Dallas	TX	75265-0116		11/4/2022	\$4,536.57	Suppliers or vendors

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's Name	Address 1	Address 2	Address 3	City	State	ZIP	Country	Payment Date	Payment Amount	Reason for Payment or Transfer
UPS	PO Box 650116			Dallas	TX	75265-0116		11/23/2022	\$945.39	Suppliers or vendors
UPS Supply Chain Solutions, Inc	28013 Network Place			Chicago	IL	60673-1280		9/16/2022	\$71,138.73	Suppliers or vendors
UPS Supply Chain Solutions, Inc	28013 Network Place			Chicago	IL	60673-1280		10/7/2022	\$19,589.62	Suppliers or vendors
UPS Supply Chain Solutions, Inc	28013 Network Place			Chicago	IL	60673-1280		11/4/2022	\$12,194.16	Suppliers or vendors
UPS Supply Chain Solutions, Inc	28013 Network Place			Chicago	IL	60673-1280		11/23/2022	\$1,825.67	Suppliers or vendors
Velodyne Lidar, Inc.	Office of the General Counsel	5521 Hellyer Ave		San Jose	CA	95138		12/6/2022	\$500,000.00	Other
Woodruff-Sawyer & Co.	PO Box 45057			San Francisco	CA	94145-9950		12/8/2022	\$4,100,000.00	Other

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/15/2021	\$10,328.00	Commission
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/15/2021	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/31/2021	\$2,100.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/31/2021	\$9,275.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	1/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	1/31/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	2/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	2/28/2022	\$1,050.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	2/28/2022	\$10,000.00	Bonus
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	2/28/2022	\$10,325.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	3/15/2022	\$6,015.00	Commission
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	3/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	3/15/2022	\$55,000.00	Bonus
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	3/31/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	4/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	4/30/2022	\$1,050.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	4/30/2022	\$10,325.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	5/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	5/31/2022	\$1,050.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	5/31/2022	\$10,325.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	6/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	6/30/2022	\$7,640.00	Commission
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	6/30/2022	\$11,375.00	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	7/15/2022	\$2,100.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	7/15/2022	\$9,275.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	7/31/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	8/15/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	8/31/2022	\$11,375.00	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	9/15/2022	\$1,115.38	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	9/15/2022	\$10,967.95	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	9/30/2022	\$4,925.00	Commission
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	9/30/2022	\$12,083.33	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	10/15/2022	\$1,115.38	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	10/15/2022	\$10,967.95	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	10/31/2022	\$12,083.33	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	11/15/2022	\$1,115.38	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	11/15/2022	\$10,967.95	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	11/30/2022	\$2,230.77	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	11/30/2022	\$9,852.56	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/12/2022	\$8,113.00	Commission
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/12/2022	\$12,083.33	Wages
Bradley James Sherrard	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Revenue Officer	12/12/2022	\$48,333.00	Retention Bonus
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	12/15/2021	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	12/31/2021	\$1,923.08	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	12/31/2021	\$8,493.59	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	1/15/2022	\$10,416.67	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	1/31/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	2/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	2/28/2022	\$961.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	2/28/2022	\$9,455.13	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	3/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	3/31/2022	\$10,000.00	Bonus
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	3/31/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	4/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	4/30/2022	\$961.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	4/30/2022	\$9,455.13	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	5/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	5/31/2022	\$961.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	5/31/2022	\$9,455.13	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	6/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	6/30/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	7/15/2022	\$1,923.08	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	7/15/2022	\$8,493.59	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	7/31/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	8/15/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	8/31/2022	\$10,416.67	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	9/15/2022	\$1,038.46	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	9/15/2022	\$10,211.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	9/30/2022	\$11,250.00	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	10/15/2022	\$1,038.46	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	10/15/2022	\$10,211.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	10/31/2022	\$11,250.00	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	11/15/2022	\$1,038.46	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	11/15/2022	\$10,211.54	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	11/30/2022	\$2,076.92	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	11/30/2022	\$9,173.08	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	12/12/2022	\$11,250.00	Wages
Jerry Allison	433 Lakeside Drive		Sunnyvale	CA	94085		General Counsel	12/12/2022	\$67,500.00	Retention Bonus
Jim DiSanto	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	4/19/2022	\$7,656.00	Board Payment
Jim DiSanto	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/18/2022	\$13,250.00	Board Payment
Jim DiSanto	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	10/10/2022	\$13,250.00	Board Payment
Karen C. Francis	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/18/2022	\$13,250.00	Board Payment
Karen C. Francis	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/22/2022	\$59.22	Board Payment
Karen C. Francis	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	10/10/2022	\$13,250.00	Board Payment
Karen C. Francis	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	11/1/2022	\$7,656.00	Board Payment
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/15/2021	\$1,742.42	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/15/2021	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/31/2021	\$1,769.23	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/31/2021	\$7,814.10	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	1/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	1/31/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	2/15/2022	\$9,583.33	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	2/28/2022	\$884.62	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	2/28/2022	\$8,698.71	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	3/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	3/31/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	4/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	4/30/2022	\$884.62	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	4/30/2022	\$8,698.71	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	5/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	5/31/2022	\$884.62	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	5/31/2022	\$8,698.71	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	6/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	6/30/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	7/15/2022	\$1,769.23	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	7/15/2022	\$7,814.10	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	7/31/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	8/15/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	8/31/2022	\$9,583.33	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	9/15/2022	\$961.54	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	9/15/2022	\$9,455.13	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	9/30/2022	\$10,416.67	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	10/15/2022	\$961.54	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	10/15/2022	\$9,455.13	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	10/31/2022	\$10,416.67	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	11/15/2022	\$961.54	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	11/15/2022	\$9,455.13	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	11/30/2022	\$1,923.08	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	11/30/2022	\$8,493.59	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/12/2022	\$10,416.67	Wages
Kevin Amiri	433 Lakeside Drive		Sunnyvale	CA	94085		Senior Vice President of Operations	12/12/2022	\$41,667.00	Retention Bonus
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	12/15/2021	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	12/31/2021	\$2,307.69	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	12/31/2021	\$10,192.31	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	1/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	1/31/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	2/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	2/28/2022	\$1,153.85	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	2/28/2022	\$11,346.15	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	3/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	3/31/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	3/31/2022	\$150,000.00	Bonus
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	4/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	4/30/2022	\$1,153.85	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	4/30/2022	\$11,346.15	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	5/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	5/31/2022	\$1,153.85	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	5/31/2022	\$11,346.15	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	6/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	6/30/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	7/15/2022	\$2,307.69	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	7/15/2022	\$10,192.31	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	7/31/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	8/15/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	8/31/2022	\$12,500.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	9/15/2022	\$1,442.31	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	9/15/2022	\$14,182.69	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	9/30/2022	\$15,625.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	10/15/2022	\$1,442.31	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	10/15/2022	\$14,182.69	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	10/31/2022	\$15,625.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	11/15/2022	\$1,442.31	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	11/15/2022	\$14,182.69	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	11/30/2022	\$2,884.62	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	11/30/2022	\$12,740.38	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	12/12/2022	\$15,625.00	Wages
Kevin John Kennedy	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Executive Officer	12/12/2022	\$285,000.00	Bonus
Lisa Kelley	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	10/12/2022	\$8,641.00	Board Payment
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	6/30/2022	\$2,840.91	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	6/30/2022	\$10,000.00	Bonus
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	6/30/2022	\$10,416.67	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	7/15/2022	\$1,923.08	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	7/15/2022	\$8,493.59	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	7/31/2022	\$10,416.67	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	8/15/2022	\$10,416.67	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	8/31/2022	\$10,416.67	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	9/15/2022	\$1,000.00	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	9/15/2022	\$9,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	9/30/2022	\$10,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	10/15/2022	\$1,000.00	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	10/15/2022	\$9,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	10/31/2022	\$10,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	11/15/2022	\$1,000.00	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	11/15/2022	\$9,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	11/30/2022	\$2,000.00	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	11/30/2022	\$8,833.33	Wages
Lori Sundberg	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Human Resources Office	12/12/2022	\$10,833.33	Wages
Matthew Hammond	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/18/2022	\$23,667.00	Board Payment
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	12/15/2021	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	12/31/2021	\$1,923.08	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	12/31/2021	\$8,493.59	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	1/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	1/31/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	2/15/2022	\$10,416.67	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	2/28/2022	\$961.54	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	2/28/2022	\$9,455.13	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	2/28/2022	\$20,000.00	Bonus
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	3/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	3/31/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	4/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	4/30/2022	\$961.54	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	4/30/2022	\$9,455.13	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	5/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	5/31/2022	\$961.54	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	5/31/2022	\$9,455.13	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	6/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	6/30/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	7/15/2022	\$1,923.08	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	7/15/2022	\$8,493.59	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	7/31/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	8/15/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	8/31/2022	\$10,416.67	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	9/15/2022	\$1,115.38	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	9/15/2022	\$10,967.95	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	9/30/2022	\$12,083.33	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	10/15/2022	\$1,115.38	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	10/15/2022	\$10,967.95	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	10/31/2022	\$12,083.33	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	11/15/2022	\$1,115.38	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	11/15/2022	\$10,967.95	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	11/30/2022	\$2,230.77	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	11/30/2022	\$9,852.56	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	12/12/2022	\$12,083.33	Wages
Patrick Archambault	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Financial Officer	12/12/2022	\$72,500.00	Retention Bonus
Tamer Hassanein	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	4/29/2022	\$5,778.00	Board Payment
Tamer Hassanein	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/18/2022	\$10,000.00	Board Payment
Tamer Hassanein	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	10/10/2022	\$10,000.00	Board Payment
Thomas M. Rohrs	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	4/22/2022	\$9,244.00	Board Payment
Thomas M. Rohrs	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	7/18/2022	\$16,000.00	Board Payment
Thomas M. Rohrs	433 Lakeside Drive		Sunnyvale	CA	94085		Board Member	10/10/2022	\$16,000.00	Board Payment
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	12/15/2021	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	12/31/2021	\$1,884.62	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	12/31/2021	\$8,323.71	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	1/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	1/31/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	2/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	2/28/2022	\$942.31	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	2/28/2022	\$9,266.02	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	2/28/2022	\$20,000.00	Bonus
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	3/15/2022	\$10,208.33	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	3/31/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	4/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	4/30/2022	\$942.31	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	4/30/2022	\$9,266.02	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	5/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	5/31/2022	\$942.31	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	5/31/2022	\$9,266.02	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	6/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	6/30/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	7/15/2022	\$1,884.62	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	7/15/2022	\$8,323.71	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	7/31/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	8/15/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	8/31/2022	\$10,208.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	9/15/2022	\$1,115.38	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	9/15/2022	\$10,967.95	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	9/30/2022	\$12,083.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	10/15/2022	\$1,115.38	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	10/15/2022	\$10,967.95	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	10/31/2022	\$12,083.33	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	11/15/2022	\$1,115.38	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	11/15/2022	\$10,967.95	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	11/30/2022	\$2,230.77	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	11/30/2022	\$9,852.56	Wages
Tianyue Yu	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Development Officer	12/12/2022	\$12,083.33	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	12/15/2021	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	12/31/2021	\$1,211.54	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	12/31/2021	\$5,350.96	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	1/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	1/31/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	2/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	2/28/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	2/28/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	2/28/2022	\$10,000.00	Bonus
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	3/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	3/31/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	4/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	4/30/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	4/30/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	5/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	5/31/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	5/31/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	6/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	6/30/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	7/15/2022	\$1,211.54	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	7/15/2022	\$5,350.96	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	7/31/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	8/15/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	8/31/2022	\$1,158.09	Bonus
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	8/31/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	9/15/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	9/15/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	9/30/2022	\$1,158.09	Bonus
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	9/30/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	10/15/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	10/15/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	10/31/2022	\$1,158.09	Bonus
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	10/31/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	11/15/2022	\$605.77	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	11/15/2022	\$5,956.73	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	11/30/2022	\$1,158.09	Bonus
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	11/30/2022	\$1,211.54	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	11/30/2022	\$5,350.96	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	12/12/2022	\$6,562.50	Wages
Uzma Hassan	433 Lakeside Drive		Sunnyvale	CA	94085		Head of Human Resources	12/12/2022	\$26,250.00	Retention Bonus
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	12/15/2021	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	12/31/2021	\$1,884.62	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	12/31/2021	\$8,323.71	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	1/15/2022	\$10,208.33	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	1/31/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	2/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	2/28/2022	\$942.31	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	2/28/2022	\$9,266.02	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	2/28/2022	\$20,000.00	Bonus
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	3/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	3/15/2022	\$27,982.00	Bonus
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	3/31/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	4/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	4/30/2022	\$942.31	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	4/30/2022	\$9,266.02	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	5/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	5/31/2022	\$942.31	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	5/31/2022	\$9,266.02	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	6/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	6/30/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	7/15/2022	\$1,884.62	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	7/15/2022	\$8,323.71	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	7/31/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	8/15/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	8/31/2022	\$10,208.33	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	9/15/2022	\$1,038.46	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	9/15/2022	\$10,211.54	Wages

Payments, distributions, or withdrawals credited or given to insiders

Recipient's Name	Address 1	Address 2	City	State	ZIP	Country	Relationship to the Debtor	Dates	Amount of Money or Description and Value of Property	Reason for Providing the Value
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	9/30/2022	\$11,250.00	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	10/15/2022	\$1,038.46	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	10/15/2022	\$10,211.54	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	10/31/2022	\$11,250.00	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	11/15/2022	\$1,038.46	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	11/15/2022	\$10,211.54	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	11/30/2022	\$2,076.92	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	11/30/2022	\$9,173.08	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	12/12/2022	\$11,250.00	Wages
Vincenzo Signore	433 Lakeside Drive		Sunnyvale	CA	94085		Chief Marketing Officer	12/12/2022	\$67,500.00	Retention Bonus

Fill in this information to identify the case:

Debtor name: Quanergy Systems, Inc.

United States Bankruptcy Court for the: District of Delaware

Case number: 22-11305

☐

Check if this is an  
amended filing

**WARNING** - Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this Statement of Financial Affairs and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on

01/10/2023

/s/ Lawrence Perkins

Signature of individual signing on behalf of debtor

Chief Restructuring Officer and President

Position or relationship to debtor

Lawrence Perkins

Printed name

Are additional pages to Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy (Official Form 207) attached?

☐

No

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Yes